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UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,845	12/21/2001	James P. Karlen	27472-1

CONFIRMATION NO. 4911

FORMALITIES LETTER



\*OC00000007468194\*

24256  
DINSMORE & SHOHL, LLP  
1900 CHEMED CENTER  
255 EAST FIFTH STREET  
CINCINNATI, OH 45202

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*MDuong*

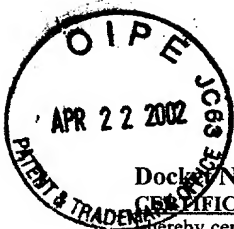
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10036345-042202



Docket No: 27472-1

**CERTIFICATE OF MAILING**

Whereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on April 12, 2002.

Lynne W. Moore  
Lynne W. Moore

**PATENT**

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: KARLEN, James P.

Paper No:

Serial No.: 10/036,845

Attention: **BOX MISSING PARTS**

Filed: December 21, 2001

For: **STOWAGE AND RETRIEVAL SYSTEM**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NON-PROVISIONAL APPLICATION-FILING DATE GRANTED**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application-Filing Date Granted dated February 13, 2002, submitted herewith are the following:

- (1) Declaration and Power of Attorney signed by the Inventor;
- (2) The Filing Fee (37 CFR 1.136) of \$370.00, and the Surcharge Fee (37 CFR 1.16(l)) of \$65.00 by check in the amount of \$435.00;
- (3) A copy of the Notice to File Missing Parts of Non-Provisional Application-Filing Date Granted, dated February 13, 2002; and
- (4) Substitute Drawing Sheets (24 Sheets).

It is believed that this represents a complete response to the Notice. Please charge any additional fees required in connection with the present Response, and credit any excess in fees paid, to Deposit Account No. 04-1133.

20036845-042202

Respectfully submitted,

By: Clayton L. Kuhnell

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